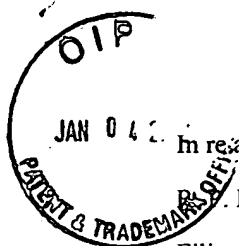


2766

2131

#4



IN THE UNITED STATES PATENT & TRADEMARK OFFICE



In re: application of

R. J. Doyle

Filing Date: March 28, 2000

Serial No. 09/537,068

For: Using Biometrics on Pervasive Devices for Mobile

Identification

: October 18, 2001
: IBM Corporation
: Dept. T81/Bldg. 503-3
: P.O. Box 12195
: Research Triangle Park
: North Carolina 27709
: Art Unit 2766

RECEIVED
JAN 11 2002
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56.

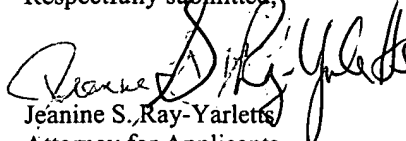
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97 (d) (e) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; the Examiner is hereby authorized to charge the Petition Fee of \$130.00 to Deposit Account 09/0461.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,


Jeanine S. Ray-Yarletts
Attorney for Applicants
Registration No. 39,808

JSR:dl
PHONE: 919-543-2541; FAX: 919-254-4330

Ser. No. 09/537,068

-1-

RSW920000002US1



RECEIVED
JAN 11 2002
Technology Center 2100

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper and fee are being deposited with the United States Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on October 18, 2001.

Dianne Lane

Dianne Lane

NAME OF PERSON MAILING PAPER AND FEE

SIGNATURE OF PERSON MAILING PAPER AND FEE



HL

INVESTOR IN PEOPLE

International Business Machines Corporation
% IBM United Kingdom Limited
Intellectual Property Department
Hursley Park
WINCHESTER
Hampshire
SO21 2JN

The Patent Office
Patents Directorate

Concept House
Cardiff Road, Newport
South Wales NP10 8QQ

RECEIVED
JAN 11 2002
Technology Center 2100

Examiner: 01633 814982
E-mail: melanie.gee@patent.gov.uk
Switchboard: 01633 814000
Fax: 01633 814444
Minicom: 08459 222250
DX 722540/41 Cleppa Park 3
<http://www.patent.gov.uk>

Your Reference: RSW20000002GB1
Application No: GB 0107040.8
10 September 2001

Dear Sirs

Patents Act 1977: Search Report under Section 17(5)

I enclose two copies of my search report and a copy of the citations.

Publication

I estimate that, provided you have met all formal requirements, preparations for publication of your application will be completed soon after **9 October 2001**. You will then receive a letter informing you of completion and telling you the publication number and date of publication.

Amendment/withdrawal

If you wish to file amended claims for inclusion with the published application, or to withdraw the application to prevent publication, you must do so before the preparations for publication are completed. **No reminder will be issued.** If you write to the Office less than 3 weeks before the above completion date, please mark your letter prominently: **"URGENT - PUBLICATION IMMINENT"**.

Yours faithfully

Melanie Gee
Examiner



Application No: GB 0107040.8
Claims searched: 1-27

Examiner: Melanie Gee
Date of search: 7 September 2001

Patents Act 1977 Search Report under Section 17

Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.S):

Int Cl (Ed.7): G07C; G06F

Other: Online: WPI, EPODOC, PAJ

Documents considered to be relevant:

Category	Identity of document and relevant passage	Relevant to claims
X, P	WO 00/62866 A1 (TECHNOGYM), see whole document.	1, 10 & 19 (at least)
X	WO 99/16025 A1 (RAYTHEON COMPANY), see especially page 19 line 19 - page 24 line 12.	1, 2, 3, 7, 10, 11, 12, 16 & 19
A, P	US 6141436 A (SREY et al.), see especially Figs. 5 & 6.	

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.